

**Tony Kershaw**  
Director of Law and Assurance

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15 March 2019

## **Regulation, Audit and Accounts Committee**

A meeting of the committee will be held at **10.30 am** on **Monday, 25 March 2019** at **County Hall, Chichester**.

Tony Kershaw  
Director of Law and Assurance

### **Agenda**

#### **1. Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

#### **2. Minutes of the last meeting of the Committee (Pages 5 - 10)**

The Committee is asked to agree the minutes of the meeting held on 21 January 2019 (cream paper).

#### **3. Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

#### **4. External Audit**

The External Auditor EY to provide a verbal update on progress on the main council and pension fund audits.

#### **5. External Audit - WSCC Audit Plan (Pages 11 - 48)**

Audit Planning Report from the External Auditor EY.

The Committee is asked to comment on the plan.

6. **Financial Statements 2018/19 - Plans and Progress** (Pages 49 - 90)

Report by Director of Finance, Performance and Procurement.

The Committee is asked to note the project plans for the County Council and Pension Fund accounts and consider progress to date. The Committee is also asked to consider the draft accounting policies for both the County Council and Pension Fund accounts for 2018/19 and approve them for application in preparing this year's accounts.

7. **Internal Audit Progress Report** (Pages 91 - 114)

Report by the Director of Finance, Performance and Procurement and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress report for the period to March 2019.

8. **Internal Audit Plan 2019/20** (Pages 115 - 134)

Report by Head of Southern Internal Audit Partnership.

The Committee is asked to approve the contents of the Internal Audit Plan for 2019/20.

9. **Internal Audit Charter 2019/20** (Pages 135 - 144)

Report by Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Charter 2019-20.

10. **Quarterly Review of the Corporate Risk Register** (Pages 145 - 156)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to review the information detailed in the report and the current Corporate Risk Register, and provide comment as necessary.

11. **Staff Induction Update** (Pages 157 - 160)

Report by Director of Human Resources and Organisational Change.

The Committee is asked to consider the recommendations within the report relating to staff induction updates.

12. **Draft Annual Governance Statement 2018/19** (Pages 161 - 164)

Report by Director of Law and Assurance.

The committee is asked to note the plans for the 2018/19 Statement and raise any additional significant governance issues that it wishes to have included in the 2018/19 Statement at this stage.

13. **Work Programme 2019/20** (Pages 165 - 168)

Programme by the Director of Law and Assurance.

The Committee is asked to agree the outline work programme for 2019/20 and agree any further priority items for addition to the programme.

14. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30am on 22 July 2019 at County Hall, Chichester.

**To all members of the Regulation, Audit and Accounts Committee**